

# Relationship Between Baseline Glycemic Control and Cognitive Function in Individuals With Type 2 Diabetes and Other Cardiovascular Risk Factors

The Action to Control Cardiovascular Risk in Diabetes-Memory in Diabetes (ACCORD-MIND) trial

TALI CUKIERMAN-YAFFE, MD, MSc<sup>1,2</sup>  
HERTZEL C. GERSTEIN, MD, MSc<sup>2</sup>  
JEFF D. WILLIAMSON, MD, MPH<sup>3</sup>  
RONALD M. LAZAR, PHD<sup>4</sup>  
LAURA LOVATO, MS<sup>5</sup>  
MICHAEL E. MILLER, PHD<sup>5</sup>  
LAURA H. COKER, PHD<sup>6</sup>  
ANNE MURRAY, MD<sup>7</sup>

MARK D. SULLIVAN, MD, PHD<sup>8</sup>  
SANTICA M. MARCOVINA, PHD, SCD<sup>9</sup>  
LENORE J. LAUNER, PHD<sup>10</sup>  
FOR THE ACTION TO CONTROL  
CARDIOVASCULAR RISK IN DIABETES-  
MEMORY IN DIABETES (ACCORD-  
MIND) INVESTIGATORS\*

**CONCLUSIONS** — Higher A1C levels are associated with lower cognitive function in individuals with diabetes. The effect of glucose lowering on cognitive function will be determined by the ongoing ACCORD-MIND trial.

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**OBJECTIVE** — Diabetes is associated with cognitive decline and dementia. However, the relationship between the degree of hyperglycemia and cognitive status remains unclear. This was explored using baseline cognitive measures collected in the ongoing Memory in Diabetes (MIND) substudy of the Action to Control Cardiovascular Risk in Diabetes (ACCORD) trial.

**RESEARCH DESIGN AND METHODS** — The relationship of A1C and fasting plasma glucose (FPG) levels to performance on four cognitive tests was assessed, adjusting for age and other determinants of cognitive status. The tests were the Digit Symbol Substitution Test (DSST), Mini Mental Status Examination (MMSE), Rey Auditory Verbal Learning Test, and Stroop Test.

**RESULTS** — A statistically significant age-adjusted association was observed between the A1C level and the score on all four cognitive tests. Specifically, a 1% higher A1C value was associated with a significant 1.75-point lower DSST score (95% CI  $-1.22$  to  $-2.28$ ;  $P < 0.0001$ ), a 0.20-point lower MMSE score ( $-0.11$  to  $-0.28$ ;  $P < 0.0001$ ), a 0.11-point lower memory score ( $-0.02$  to  $-0.19$ ,  $P = 0.0142$ ), and a worse score (i.e., 0.75 s more) on the Stroop Test ( $1.31$ – $0.19$ ,  $P = 0.0094$ ). The association between the DSST score and A1C persisted in all multiple linear regression models. FPG was not associated with test performance.

Mild cognitive impairment represents an important phase on the path from normal cognitive function to dementia. Affected individuals have measurable deficits in cognitive function that may affect their ability to master complex behaviors such as those required for diabetes self-care (1). Moreover, because mild cognitive impairment is more common than frank dementia, its potential population health impact is high. For example, the prevalence of mild cognitive impairment (i.e., predementia) in the Cardiovascular Health Study was 19% in individuals aged  $>65$  years and 29% in those aged  $>85$  years.

Diabetes is associated with premature mortality and is a risk factor for mild cognitive impairment and both vascular dementia (2–5) and Alzheimer's disease (2,6–8). Indeed, individuals with diabetes are  $\sim 1.5$  times more likely to experience cognitive decline and frank dementia than individuals without diabetes (9). Precise reasons for the high morbidity and mortality of diabetes remain unknown; however, many studies have demonstrated a link between many of the consequences of diabetes and the degree of hyperglycemia as measured by the A1C or glucose level. Emerging evidence suggests that a relationship between measures of short-term glucose control and cognitive function also exists. For example, in a cross-sectional analysis of 378 high-functioning individuals with diabetes, higher A1C but not fasting plasma glucose (FPG) levels were consistently associated with lower scores on two cogni-

From the <sup>1</sup>Gertner Institute for Epidemiology and Health Policy Research, Endocrinology Institute Sheba Medical Center, Sackler School of Medicine, Tel-Aviv University, Tel-Aviv, Israel; the <sup>2</sup>Department of Medicine and Population Health Research Institute, McMaster University and Hamilton Health Sciences, Hamilton, Ontario, Canada; the <sup>3</sup>Roena B. Kulynych Center for Memory, Cognition Research, Department of Internal Medicine, Wake Forest University, Winston-Salem, North Carolina; the <sup>4</sup>Departments of Neurology and Neurological Surgery, Columbia University College of Physicians and Surgeons, New York, New York; the <sup>5</sup>Department of Biostatistical Sciences, Wake Forest University School of Medicine, Winston-Salem, North Carolina; the <sup>6</sup>Roena B. Kulynych Center for Memory, Cognition Research, Department of Social Sciences and Health Policy, Wake Forest University, Winston-Salem, North Carolina; the <sup>7</sup>Hennepin County Medical Center and Chronic Disease Research Group, Minneapolis, Minnesota; the <sup>8</sup>University of Washington, Seattle, Washington; the <sup>9</sup>Northwest Lipid Metabolism and Diabetes Research Laboratories, University of Washington, Seattle, Washington; and the <sup>10</sup>Intramural Research Programme, National Institute on Aging, National Institutes of Health, Bethesda, Maryland.

Corresponding author: Tali Cukierman-Yaffe, [cukierm@mcmaster.ca](mailto:cukierm@mcmaster.ca).

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\*A complete list of the Action to Control Cardiovascular Risk in Diabetes (ACCORD)-Memory in Diabetes (MIND) investigators can be found in the APPENDIX.

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tive tests (10). Smaller studies reported a similar relationship with indexes of dysglycemia (11,12); nevertheless, details regarding such a relationship remain unclear.

The Action to Control Cardiovascular Risk in Diabetes (ACCORD) trial is a randomized controlled trial of 10,251 individuals with established type 2 diabetes who have a high risk for cardiovascular disease (CVD) and whose screening A1C was  $\geq 7.5\%$ . It will determine whether therapeutic strategies targeting normoglycemia, normotension, and/or a normal lipid profile can reduce the rate of cardiovascular events more than standard therapeutic approaches in individuals with type 2 diabetes and either previous cardiovascular events or additional cardiovascular risk factors. The Memory in Diabetes (MIND) substudy of the ACCORD trial will determine whether these interventions reduce cognitive decline and structural brain changes in a subset of 2,977 randomized individuals from sites that participated in the MIND substudy. Baseline data from the MIND substudy provide a unique opportunity to assess the cross-sectional relationship between cognitive function and two different measures of glycemia: A1C and FPG.

## RESEARCH DESIGN AND METHODS

The design of the ACCORD-MIND trial was described elsewhere (13). In brief, six of seven ACCORD clinical networks in the U.S. and Canada comprising 52 of 77 sites participated in the ACCORD-MIND trial.

ACCORD participants aged  $>55$  years who were fluent in English or Spanish and willing to participate and who had been randomized into the main ACCORD trial for  $<45$  days were invited to participate in the MIND substudy. After signing a consent form, eligible participants completed a 30-min battery of cognitive tests. To ensure that participants were not hypoglycemic at the time of cognitive testing, the tests were generally administered after breakfast, and a capillary glucose level was measured before testing. If the result was  $<60$  mg/dl (3.3 mmol/l), a snack was given, and the capillary glucose value was measured again within 15 min; if the repeat glucose level at that time was still  $<60$  mg/dl, the test was rebooked for a different day. The battery was administered and scored by certified technicians (either in English or Spanish), and the data were entered centrally at the ACCORD-MIND coordinating cen-

ter. The study protocol was approved by the research ethics board of each participating center, and all participants provided written informed consent.

## Measures of glycemic status

Baseline biochemical characteristics of MIND participants were measured in the central laboratory of the ACCORD main trial. A1C was measured by a Tosah G7 automated high performance liquid chromatograph (in a laboratory with National Glycohemoglobin Standardization Program level I certification for traceability to the Diabetes Control and Complications Trial reference); FPG was measured enzymatically on an Hitachi 917 autoanalyzer.

## Cognitive testing

Cognitive tests that measured performance in specific domains of interest were chosen because they were standardized, widely used, had well-established norms, and could be administered by nonneuropsychologists. Cognitive training session and certification of MIND technicians were conducted by MIND coordinating center data quality assurance staff who were trained and supervised by MIND investigators, as described previously (14).

Recertification of test performance was repeated 6 months after the initial certification and annually thereafter. Thus, a planned review of the test administrators' performance, which included a review of the test session recorded via audiotape and examination of actual test materials and scores, provided ongoing quality assurance of the cognitive data. Cognitive test administrators were provided written feedback on their performance and were retrained as needed. Because of the significant number of Spanish-speaking participants, validated translations were used for the Mini Mental Status Examination (MMSE) and Rey Auditory Verbal Learning Test (RAVLT) (15). Verified Spanish versions were created for the other tests by the Columbia University Hispanic Research and Recruitment Center team through translation and back-translation.

The tests have been described previously (13). In brief, the Digit Symbol Substitution Test (DSST) is a subtest of the Wechsler Adult Intelligence Scale (3rd edition), which assesses a wide array of cognitive domains, most prominently visual motor speed, capacity for learning, sustained attention, and working memory. It has been used extensively to mea-

sure cognitive function in cognitively intact individuals, and its score is well correlated with measures of physical function and future cognitive decline (16). The range of scores is 0 to 133, with increasing scores indicating better performance.

The MMSE is a screening tool for detecting changes in cognitive skills (17). It can also identify changes in cognitive function for elderly individuals without dementia and may identify individuals in the prodromal phase of dementia. The range of scores is 0 to 30, with increasing scores indicating better performance.

The RAVLT assesses the ability to memorize and to retrieve words (verbal memory). The RAVLT has been used extensively in epidemiological research and has been found to be sensitive to neurological impairment in a wide variety of patients.

The Stroop Test evaluates the ability to view complex visual stimuli and to respond to one stimulus dimension while suppressing the response to another dimension, an "executive" skill largely attributed to frontal lobe function.

## Measures of confounding variables and covariates

We adjusted the analyses for several factors that may confound the association of the glycemic status measures and cognitive function, including 1) prevalent CVD, defined as a history of myocardial infarction, angina with ischemic changes on a graded exercise test or positive imaging, previous coronary revascularization procedures, or stroke; 2) hyperlipidemia, defined as use of any lipid-lowering agent or an untreated LDL cholesterol level  $>130$  mg/dl (3.38 mmol/l); 3) hypertension, defined as either a history of hypertension or use of any antihypertensive agents; 4) alcohol consumption, defined as more than three drinks per week; 5) neuropathy, defined as either a history of neuropathy or absent ankle reflexes or vibration sense for either foot; 6) education, divided into three categories: no high school education, high school education only, or college education or more; and 7) depression, defined as either a history of depression or a score of 10 or higher on the Physicians Health Questionnaire (PHQ) 9, a depressive symptoms screening instrument. Other biochemical covariates measured in the central ACCORD laboratory included urine albumin, measured by the Dade Behring reagent on a Behring nephelometer

(BNII); urine creatinine, measured by the Roche reagent on a Hitachi 917 chemistry autoanalyzer; and lipids, measured on a Hitachi 917 autoanalyzer using methods standardized to the Centers for Disease Control and Prevention reference methods.

### Statistical analysis

The relationships between each of the four measures of cognitive status (i.e., the dependent variables), A1C, FPG, and the confounding and covarying variables described above were assessed with age-adjusted linear regression using the raw scores. Age adjustment was used because age may confound the relationship between dysglycemia and cognitive function.

Multiple linear regression was used to estimate the independent relationship between either A1C or FPG and each of the cognitive measures after controlling for 1) age, sex, education, and depression (model 1); 2) model 1 variables plus diabetes duration (model 2); 3) model 1 variables plus diabetes duration, race, and language (model 3); 4) model 1 variables plus a history of CVD (model 4); 5) model 1 variables plus stroke (model 5); and 6) model 1 variables plus all of the independent variables assessed in the simple linear regressions in Table 2 (model 6). For categorical independent variables coded as 0 or 1 indicator variables (e.g., hypertension), the  $\beta$ -coefficient represents the difference in predicted scores between those with and without the variable. For continuous independent variables (e.g., A1C), the  $\beta$ -coefficient represents the difference in predicted scores for every 1-unit difference (e.g., 1% for A1C) in the independent variable. The calculated  $R^2$  for each model indicates the percentage of variability in cognitive test score results explained by the model.

**RESULTS** — As noted in Table 1, the 2,977 trial participants had mean age of 62.5 years, mean A1C of 8.3%, and mean FPG of 175.5 mg/dl (9.75 mmol/l). A total of 1,388 (47%) were women, 718 (24%) reported previous CVD that was not stroke-related, 151 (5%) reported a previous stroke, and 2,578 (87%) reported previous hypertension; 392 (13%) did not complete high school and 980 (33%) had either a history of depression or a PHQ 9 score consistent with some depression. These baseline characteristics are similar to those reported for the overall ACCORD trial (18).

**Table 1—Baseline characteristics of ACCORD-MIND participants**

Variable	Result
<i>n</i>	2,977
Female sex (%)	1,388 (47)
Age (years)	62.5 ± 5.8
Diabetes duration (years)	10.4 ± 7.3
Mean BMI (kg/m <sup>2</sup> )	33.0 ± 5.4
Mean urine albumin-to-creatinine ratio	0.092 ± 0.404
Previous cardiovascular disease (%)*	869 (29)
Stroke (%)	151 (5)
Nonstroke (%)	718 (24)
Hyperlipidemia (%)†	2,426 (82)
Previous hypertension or use of blood pressure drugs (%)	2,578 (87)
Current smoker (%)	352 (12)
>3 drinks/week (%)	232 (8)
Education	
Not a high school graduate (%)	392 (13)
Just high school (%)	769 (26)
Some college or technical school (%)	1,027 (35)
College graduate or more (%)	789 (27)
Ethnicity	
Hispanic (%)	213 (7)
Non-Hispanic white (%)	2,074 (70)
African American/African Canadian (%)	478 (16)
Asian (%)	67 (2)
American Indian/Alaska Native (%)	65 (2)
Other (%)	80 (2.6)
Vitrectomy (%)	15 (0.5)
Neuropathy (%)‡	1,472 (50)
Past or current depression or PHQ 9 score ≥10 (%)	980 (33)
Living alone (%)	654 (22)
Cognitive testing in Spanish	63 ± 2.1
DSST score	53 (42–63)
MMSE score	28 (26–29)
Memory score	7.4 (5.7–9.3)
Stroop Test score (s)	29 (21–38)
A1C (%)	8.3 ± 1.1
FPG (mg/dl)	175.5 ± 55

Data are means ± SD, *n* (%), or median (25th–75th percentile). The range of scores for the ACCORD participants is DSST 2–97, MMSE 12–30, memory 0.9–14.5, and Stroop –10 to 171. \*Myocardial infarction, angina with ischemic changes on graded exercise test or positive imaging, coronary revascularization procedures, or stroke. †Taking lipid-lowering medication or an untreated LDL cholesterol level >130 mg/dl (3.38 mmol/l). ‡History of neuropathy or absent ankle reflexes or vibration perception at great toe for either foot.

### Relationship between measures of glycemia and cognitive test scores

**A1C.** A statistically significant age-adjusted association was observed between the A1C level and the score on all four cognitive tests (Table 2). Specifically, a 1% higher A1C value was associated with a 1.75-point lower DSST score (95% CI –1.22 to –2.28;  $P < 0.0001$ ), a 0.20-point lower MMSE score (95% CI –0.11 to –0.28;  $P < 0.0001$ ), a 0.11-point lower memory score (95% CI –0.02 to –0.19,  $P = 0.0142$ ), and a worse score (i.e., 0.75 s more) on the Stroop Test (95% CI 1.31–0.19,  $P = 0.0094$ ).

As noted in Fig. 1, a significant relationship between a higher A1C and lower DSST score persisted in all six models, with the weakest relationship noted after accounting for race and language. A similar significant relationship was noted for the adjusted MMSE and memory scores in some of the models; however, higher A1C levels were not significantly associated with higher Stroop Test scores after adjustment for the variables in any model. Thus, after adjustment for age, sex, education, and depression score (model 1, Fig. 1A–C), a 1% higher A1C was associated with a 1.43-point (95% CI –0.94 to

**Table 2— $\beta$ -coefficients for the age-adjusted relationship between cognitive test scores, glycaemic status, and other variables: ACCORD-MIND**

Variable	DSST	MMSE	Memory score	Stroop
Female sex	1.79	-0.27	1.30§	0.41
Diabetes duration (years)	-0.26§	0.14	-0.01	-0.01
CVD*	-1.98	0.12	-0.48§	1.43
Stroke	-8.18§	-0.69	-0.86§	4.53
Nonstroke CVD	-0.08	0.32	-0.31	0.40
Hyperlipidemia†	1.95	0.02	-0.12	-1.22
Hypertension or blood pressure drugs	-1.78	-0.11	-0.14	0.37
Current smoker	-2.75	-0.29	-0.46	0.38
>3 drinks/week	4.80§	0.76§	-0.01	-2.81
Education				
< high school	-22.51§	-3.24§	-1.89§	12.30§
High school	-10.63§	-1.4§	-0.89§	6.63§
Some college	-5.2§	-0.6§	-0.41	3.86§
Language	-25.97§	-2.7§	-1.51§	10.27§
Race (Nonwhite)	-13.39§	-1.92§	-0.83§	6.71§
Vitrectomy	-7.78	0.49	0.50	7.11
Neuropathy‡	1.84	0.41§	0.17	-0.82
Depression or PHQ 9 score $\geq 10$	-1.04	-0.34	-0.05	0.54
Living alone	0.21	0.07	0.27	1.19
BMI (kg/m <sup>2</sup> )	0.20	0.04§	0.04§	0.04
Urine albumin-to-creatinine ratio	-3.06§	-0.11	-0.20	1.29
A1C (%)	-1.75§	-0.20§	-0.11	0.75
Fasting plasma glucose (mmol/l)	0.0057	0.0006	0.00006	-0.0055

\*Myocardial infarction, angina with ischemic changes on graded exercise test or positive imaging, coronary revascularization procedures, or stroke. †Taking lipid-lowering medication or an untreated LDL cholesterol level >130 mg/dl (3.38 mmol/l). ‡History of neuropathy or absent ankle reflexes or vibration perception at great toe for either foot. § $P \leq 0.0001$ ; || $P \leq 0.05$ .

-1.92;  $P < 0.0001$ ) lower DSST score, a 0.14-point (-0.06 to -0.22;  $P = 0.001$ ) lower MMSE score, and a 0.09-point (-0.01 to -0.17;  $P = 0.02$ ) lower memory score.

Figure 1 also shows  $R^2$  values for the adjusted models both with and without the A1C term. The small differences in the two  $R^2$  values for each model where A1C is associated with the cognitive test indicates that A1C levels, although statistically significant, only explain a small additional amount of the variability in cognitive test scores compared with that explained by the model excluding the A1C term.

**FPG.** Unlike for A1C, no significant relationship between the FPG and any of the cognitive tests was observed in the age-adjusted or multiple regression analyses (Table 2).

**CONCLUSIONS**— This analysis of ~3,000 individuals with established type 2 diabetes demonstrates a clear age-adjusted inverse relationship between cognitive function and the degree of

chronic hyperglycemia as measured by the A1C level. The observed effect of a 1% change in A1C on tests scores is clearly small; nevertheless, such an effect may be clinically important. For example, in the same sample every 1-year increase in age was associated with a 0.7-point decrease in DSST score (data not shown). Therefore, the 1- to 1.5-point difference in DSST per 1% higher A1C corresponds to an age difference of up to 2 years. Moreover, several recent studies demonstrated the clinical importance of this difference. Thus, a cross-sectional study in older healthy individuals reported that a 3-point difference in DSST score was associated with lower scores on physical performance tests (16), and in a 3-year follow-up study a 1-point difference in baseline DSST score significantly increased the risk for the development of Alzheimer's disease among individuals with minimal cognitive impairment (19). Finally, during a mean follow-up of 3.3 years, a 1-point difference in baseline DSST score was associated with a 3% in-

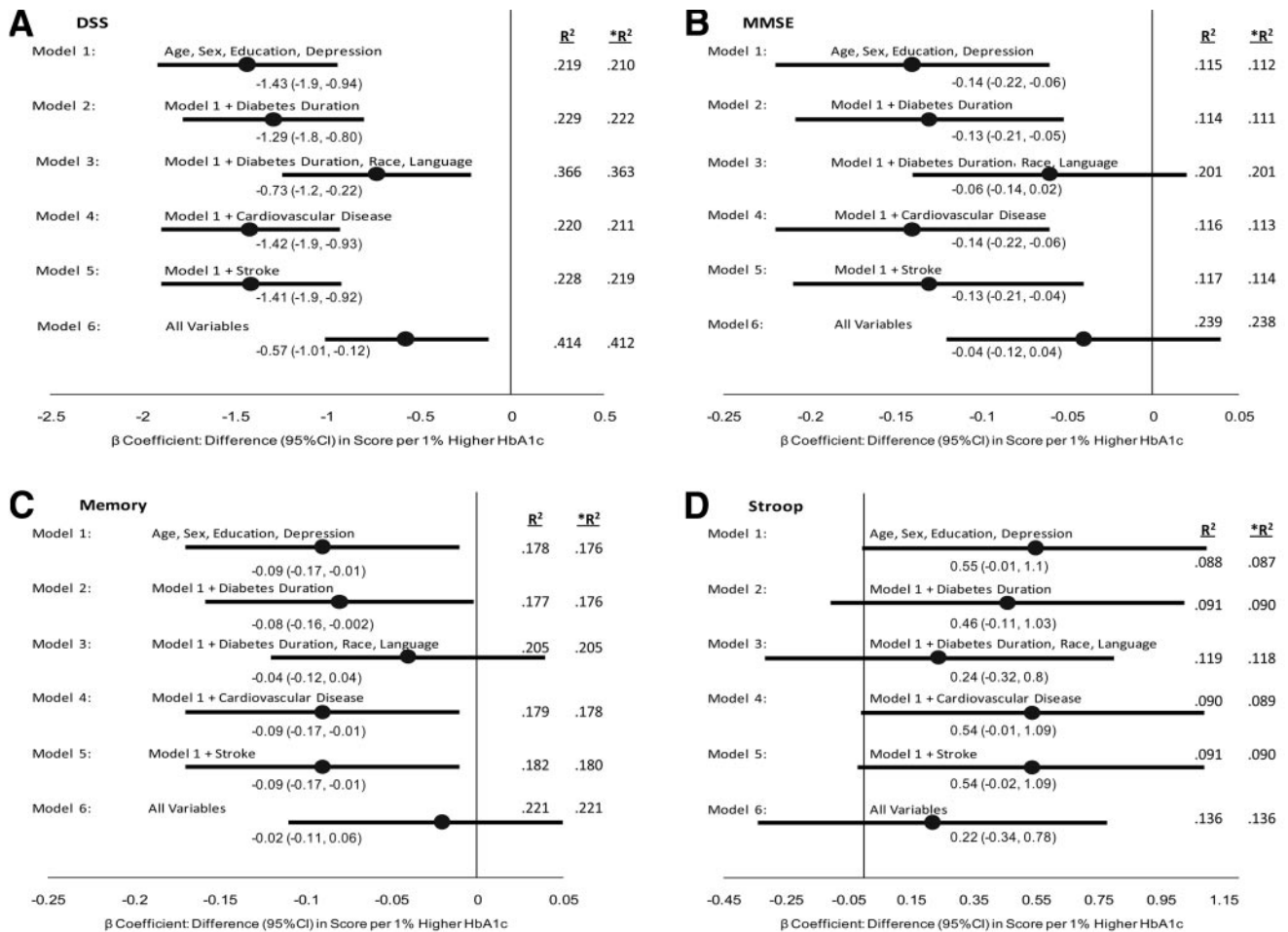
crease in the risk for dementia in community dwellers aged >70 years (20).

The relationship between the A1C level and cognition was attenuated after adjustment for other factors associated with cognitive function in some of the models but remained significant for the DSST score in every adjusted model. These findings suggest that much, but not all, of the relationship between A1C and cognitive function may be explained by risk factors other than A1C. Thus, the small increment in the  $R^2$  value (Fig. 1) attributable to addition of the A1C term to the multivariable models (which reflects the degree to which the model accounts for the cognitive test score) suggests that A1C is not the crucial determinant of cognitive score after consideration of these other factors and particularly those related to ethnicity/language. However, these other factors are mostly not modifiable, whereas A1C levels can be changed with therapy. This evidence for a small additional significant effect of A1C on cognitive test scores therefore supports (but clearly does not prove) the hypothesis that lowering A1C may have an impact on these scores.

Taken together, these analyses extend previous reports of a link between cognitive decline and diabetes and are consistent with the hypothesis of a progressive relationship between the degree of chronic hyperglycemia and cognitive dysfunction.

The fact that optimal diabetes care requires affected patients to make therapeutic decisions based on information that they collect and process highlights the clinical significance of this finding. Finally, the absence of a clear relationship between FPG and these tests may be due to the fact that FPG is not as reliable as A1C as a measure of the underlying chronic glycaemic status.

A number of possibilities may explain these findings. Because higher glucose levels are associated with a higher prevalence of cardiovascular risk factors and CVD, the relationship with cognitive dysfunction may be mediated through CVD. The fact that this relationship is not attenuated by adjusting for CVD reduces but does not completely eliminate this possibility. It is also possible that chronic exposure of the brain to high levels of glucose may accelerate cognitive decline. Indeed, postmortem studies of senile plaques from the brains of individuals with Alzheimer's disease demonstrate metabolic



**Figure 1**—The associations between a 1% increase in A1C (percentage) and test scores on four different measures of cognitive function (and their 95% CIs) after adjustment for different baseline characteristics are shown. The sixth model includes all of the variables noted in Table 1. A: DSS. B: MMSE. C: Memory score (Rey Auditory Verbal Learning Test). D: Stroop Test.  $R^2$  is the percentage of the variance for each cognitive test explained by each model (including the term for A1C), and \* $R^2$  is the percentage of the variance explained by each model without the A1C term included.

oxidation products associated with hyperglycemia (21,22).

A third possibility is related to the fact that higher A1C levels imply insufficient action or effect of insulin due to insufficient secretion, activity, or both. There are many insulin receptors in the brain. Some have a role in glucose transport, but many are thought to have a function in cognitive processes. Several observations suggest that cognitive decline is a consequence of reduced insulin action in the brain. In individuals without diabetes, worse glucoregulation (as measured by a glucose tolerance test) was associated with worse outcomes on cognitive assessment, especially in elderly individuals. Individuals with Alzheimer's disease also have less efficient glucoregulation than unaffected individuals (23), and exposure of individuals with Alzheimer's disease to a euglycemic-hyperinsulinemic clamp improved cognitive function, whereas exposure to a

euglycemic-hyperglycemic clamp had no effect (23,24).

There are limitations to this study. First, because the analyses were cross-sectional, it is not possible to make any temporal or causal inferences regarding relationships. Second, cognitive tests were administered to individuals of several ethnic groups and in two languages, thus increasing the variability of the measurement. Third, the ACCORD trial excluded individuals whose most recent A1C was  $<7.5\%$  or  $>11\%$  and those who were deemed unable to participate in their diabetes management; these results may therefore not apply to individuals with lower or higher A1C levels or significant cognitive impairment. Fourth, exclusion of individuals with lower A1C levels means that the relationship between A1C and cognition is studied over a narrow range of A1C levels. This reduces the ability to detect a relationship, and

thus the link between A1C and cognition may have been underestimated. However, this large sample of individuals with diabetes is well powered to study the association of A1C levels and cognition.

In summary, this cross-sectional analysis illustrates that chronic hyperglycemia appears to be independently associated with cognitive function in individuals with diabetes. It also raises the hypothesis that strategies to lower A1C levels or prevent their rise may favorably affect cognitive function. Such a hypothesis can only be tested in prospective studies, such as the ongoing ACCORD-MIND trial.

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No potential conflicts of interest relevant to this article were reported.

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## APPENDIX

The Action to Control Cardiovascular Risk in Diabetes-Memory in Diabetes (ACCORD-MIND) Investigators are the following. *Executive Committee*: Lenore J. Launer, R. Nick Bryan, Mike E. Miller, Jeff D. Williamson. *Canadian Clinical Center Network (CCN)*: McMaster University and Hamilton Health Sciences, Hamilton, ON, Canada: Hertzler Gerstein, MD, MSc; Tali Cukierman-Yaffe, MD, MSc. *Western CCN*: University of Washington, Seattle, WA: Mark D. Sullivan, MD, PhD. *Minnesota-Iowa CCN*: Berman Center for Outcomes and Clinical Research, Minneapolis, MN: Anne Murray, MD, MSc. *Ohio-Michigan CCN*: Case Western Reserve University, Cleveland, OH: Karen R. Horowitz, MD. *Northeastern CCN*: Columbia University College of Physicians and Surgeons, New York, NY: Ronald M. Lazar, PhD. *Southeastern CCN*: Wake Forest University School of Medicine, Winston-Salem, NC: Jingzhong Ding, MD, PhD. *Coordinating Center*: Wake Forest University School of Medicine, Winston-Salem, NC: Jeff D. Williamson, MD, MHS; Laura H. Coker, PhD; Michael E. Miller, PhD. *MRI Quality Control*: University of Pennsylvania, Philadelphia, PA: R. Nick Bryan, MD, PhD. *Project Office*: National Institute on Aging, National Institute of Health, Bethesda, MD: Lenore J. Launer, PhD.

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