

Distinct patterns of mutations occurring in de novo AML versus AML arising in the setting of severe congenital neutropenia

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Severe congenital neutropenia (SCN) is an inborn disorder of granulopoiesis. Like most other bone marrow failure syndromes, it is associated with a marked propensity to transform into a myelodysplastic syndrome (MDS) or acute leukemia, with a cumulative rate of transformation to MDS/leukemia that exceeds 20%. The genetic (and/or epigenetic) changes that contribute to malignant transformation in SCN are largely unknown. In this study, we performed mutational profiling of 14 genes previously implicated in leu-

kemogenesis using 14 MDS/leukemia samples from patients with SCN. We used high-throughput exon-based resequencing of whole-genome-amplified genomic DNA with a semiautomated method to detect mutations. The sensitivity and specificity of the sequencing pipeline was validated by determining the frequency of mutations in these 14 genes using 188 de novo AML samples. As expected, mutations of tyrosine kinase genes (*FLT3*, *KIT*, and *JAK2*) were common in de novo AML, with a cumulative frequency of 30%. In

contrast, no mutations in these genes were detected in the SCN samples; instead, mutations of *CSF3R*, encoding the G-CSF receptor, were common. These data support the hypothesis that mutations of *CSF3R* may provide the "activated tyrosine kinase signal" that is thought to be important for leukemogenesis. (Blood. 2007;110:1648-1655)

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Introduction

Bone marrow failure syndromes are characterized by a deficiency of 1 or more hematopoietic lineage. A common feature of both congenital and acquired forms of bone marrow failure is a marked propensity to develop acute myeloid leukemia (AML) or a myelodysplastic syndrome (MDS). The cumulative risk of developing AML or MDS in patients with chronic bone marrow failure ranges from 2% to greater than 20%.¹⁻⁷ Distinct genetic changes are associated with AML arising in the setting of bone marrow failure. The most prevalent genetic changes are abnormalities of chromosome 7; while only 5% of de novo AML samples display a loss of some or all of chromosome 7, they are present in 35% to 68% of AML arising in the setting of bone marrow failure.^{3,8-14} These data suggest that distinct genetic mechanisms mediate leukemogenesis in patients with bone marrow failure syndromes.

Severe congenital neutropenia (SCN) is a congenital bone marrow failure syndrome characterized by severe neutropenia present from birth, an arrest of myeloid differentiation at the promyelocyte/myelocyte stage, and frequent infections.^{15,16} Treatment with granulocyte colony-stimulating factor (G-CSF) is effective in increasing neutrophil counts in most patients.^{4,17} Like other

bone marrow failure syndromes, SCN is associated with a marked propensity to develop MDS or AML. The French Neutropenia Registry reported a cumulative incidence of MDS or AML in patients with SCN of 10.8% at 20 years of age.¹⁸ A recent update of the Severe Chronic Neutropenia International Registry (SCNIR) showed that the cumulative incidence of MDS or AML was 21% after 10 years of G-CSF therapy.⁴ Moreover, no plateau in the incidence of AML or MDS was observed, suggesting that the cumulative risk of progression may be even higher.

The molecular mechanisms that mediate leukemic transformation in SCN are poorly understood. Transformation has been associated with acquired clonal cytogenetic changes, most commonly involving the partial or complete loss of chromosome 7, activating *RAS* mutations, or abnormalities of chromosome 21.^{3,10} In addition, acquired mutations of the *CSF3R* gene encoding the G-CSF receptor (G-CSFR) are present in a subset of patients with SCN and are strongly associated with the development of AML or MDS.¹⁹⁻²³ However, the contribution of these *CSF3R* mutations to leukemogenesis remains unclear, since transgenic mice expressing mutant G-CSFR do not

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Table 1. Clinical characteristics of the de novo AML samples

	Discovery	CALGB
Cytogenetic subgroup (%)		
Normal	35 (37.2)	41 (43.6)
t(15;17) only	12 (12.8)	20 (21.3)
t(8;21) only	1 (1.1)	3 (3.2)
inv (16) only	2 (2.1)	6 (6.4)
Trisomy 8 only	5 (5.3)	0 (0.0)
5q-/5 only	1 (1.1)	0 (0.0)
7q-/7 only	1 (1.1)	0 (0.0)
Complex karyotype, typical*	2 (2.1)	0 (0.0)
Complex karyotype, atypical*	10 (10.6)	0 (0.0)
Other	25 (26.6)	24 (25.5)
FAB subtype (%)		
M0	6 (6.4)	5 (5.3)
M1	18 (19.1)	18 (19.1)
M2	21 (22.3)	23 (24.5)
M3	17 (18.1)	23 (24.5)
M4	18 (19.1)	25 (26.6)
M5	9 (9.6)	0 (0.0)
M6	3 (3.2)	0 (0.0)
M7	2 (2.1)	0 (0.0)
Age, y (range)	52.8 (16-81)	40.3 (22-70)
Sex, no. (%)		
Male	56 (59.6)	54 (57.4)
Female	38 (40.4)	40 (42.6)
Ethnicity, no. (%)		
Asian	1 (1.1)	4 (4.2)
Black	9 (9.6)	6 (6.4)
White	83 (88.3)	70 (74.5)
Hispanic	1 (1.1)	11 (11.7)
Other	0 (0.0)	3 (3.2)

CALGB indicates Cancer and Leukemia Group B.

*Defined based on the criteria outlined by Bacher et al.³⁷

Sequencing strategy

The genetic progression factors that contribute to leukemogenesis in the setting of SCN are largely unknown. We reasoned that genes that are frequently mutated in de novo AML might also contribute to leukemic transformation in SCN. A total of 14 genes were chosen for resequencing; they included tyrosine kinase genes and genes involved in the transmission of tyrosine kinase signals (*FLT3*, *KIT*, *CSF1R*, *JAK2*, *NRAS*, *KRAS*, and *PTPN11*), myeloid

transcription factors (*RUNX1*, *CEBPA*, and *SPI1*), and *NPM1* and *TP53*. In addition, we sequenced the *ELA2* and *CSF3R* genes, since mutations of these genes have been implicated in leukemic transformation in SCN. The specific exons that were sequenced for each gene are shown in Table S1 (available on the *Blood* website; see the Supplemental Materials link at the top of the online article).

A high-throughput sequencing pipeline was employed using whole-genome–amplified genomic DNA and a semiautomated method to detect mutations. The amount of tumor and/or skin DNA available for many of these samples was very limited, necessitating whole-genome amplification to create adequate amounts of template for exonic amplification and resequencing. Since large-scale resequencing with whole-genome–amplified DNA has not previously been reported, it was first necessary to “credential” this material for analysis by assessing the frequency of known mutations in a large cohort of de novo AML patients.

A crucial factor in assessing mutation frequency is the quality and coverage of the sequences obtained. Therefore, we developed an automated method to rapidly assess sequence quality and coverage. Figure 1 contains a representative sequence coverage map for exon 2 of *NRAS*. High-quality double-stranded (green) or single-stranded (yellow) sequence was observed for nearly all of the samples. For the purposes of this study, a given sample had to meet 2 criteria to be considered to have adequate sequence coverage. First, for genes with mutational hot spots (eg, codons 12, 13, and 64 for *NRAS*), this region had to have at least single-stranded sequence coverage. Second, sequence gaps (if any) in the coding region had to be less than 10 nucleotides in length. Based on these criteria, only 5 of 210 tumor samples (both de novo AML and SCN samples) had inadequate sequence coverage for *NRAS* (Figure 1). In fact, the sequence quality and coverage was high for all analyzed genes, with an average of 95% plus or minus 4.3% of samples having adequate coverage (range, 86%-100%). In each case where inadequate sequence coverage was obtained, the sample was eliminated from the final analysis of the relevant gene mutation frequency.

Frequency of gene mutations in de novo AML

To assess the sensitivity and specificity of our sequencing pipeline, we first sequenced the 188 de novo AML samples, since the frequency of mutations in these genes had previously been established. Figure 2 summarizes the nucleotide deletions, insertions, and nonsynonymous single-nucleotide changes that were

Table 2. SCN patient characteristics

Patient ID	Age, y	Sex	Diagnosis	Cytogenetics	G-CSF dose, $\mu\text{g}/\text{kg}/\text{per day}$	G-CSF duration, y
12397	15	M	AML (M5)	45, XY, -7	1.9	6.3
17390	7.8	F	AML (M2)	46,XX,ins(1,7)(q25;q31q36) t(8,21)(q22;qq22)[20]	3.8	3.7
12374	49.3	M	AML (M7)	45, XY, -7, del(6)(q21)	2.4	4.8
17392	5	F	AML (M2)	46, XY, inv (16), t(5;6)(q31;q27)	13.5	3.7
12377	20.3	F	MDS (RAEB)	46, XX, add(2)(q37), add 7(q22)	9	8
17393	18.3	M	MDS	NA	5	11.4
17394	13.4	M	AML (M1)	46, XY	3.7	13.5
12400	7.8	M	AML	46, XY	5.2	6.8
13462	16.8	F	AML (M5)	45, XX, -7/4n	2.6	8.5
13464	2.9	M	AML/B-ALL	46 XY,add (21)(q22); XY, +21	10-120	2.8
13476	7	F	AML (M0)	45,XX,-7[12]/46,XX[11]. nuc ish (D7S486x1)	0.72	6.8
13995	15.6	F	AML (M2)	del(10)(q32)	48	10.2
14251	11.8	F	ALL	48,XX,del(5)(q21q34),+21,+22[16]/46, XX[8]	26	6.8
14252	7.4	M	MDS	47,XY,-7,+21,+21[9]/46, XY[5]	18	4.4

All patients included in this table are white. The clinical status at the time the sample was obtained is shown, including the diagnosis, major cytogenetic abnormality (if any), and duration and dose of G-CSF.

RAEB indicates refractory anemia with excess of blasts; NA, not available.

kinases share many signaling pathways, these observations suggest that the mutant G-CSFR present in many cases of SCN-AML may provide the “activated tyrosine kinase signal [pathway].” This hypothesis predicts that mutations of tyrosine kinase genes associated with de novo AML would not be required for disease progression. Consistent with this prediction, no activating mutations of the tyrosine kinase genes were observed in the SCN samples (0/14; $P = .007$ compared with de novo AML). The rarity of tyrosine kinase gene mutations in SCN is unlikely to be related to the age of the patients or the complexity of karyotypic abnormalities. The mutation frequency of *FLT3* and *KIT* in childhood AML is 19% and 3%, respectively^{56,57}; similar to that reported for adult AML. Of particular relevance to SCN, the frequency of *FLT3* mutations in AML with karyotypic abnormalities of chromosome 7 is 5.8%.³⁸ In addition, since therapy-related MDS/AML shares many features with SCN-AML (including a high incidence of chromosome 7 abnormalities and a history of antecedent MDS), it is noteworthy that the reported frequency of *FLT3* mutations in therapy-related MDS/AML ranges from 4.3% to 7.8%.^{58,59} Finally, a recent study reported that activating *KIT* mutations were present in 19% of patients with AML arising in the setting of MDS (MDS-AML), another condition associated with a high frequency of chromosome 7 abnormalities.⁶¹ Collectively, these data suggest that the rarity of tyrosine kinase gene mutations is a unique finding in SCN-MDS/AML and support the hypothesis that *CSF3R* mutations provide the activated tyrosine kinase signal that is thought to be important for leukemogenesis.

In the present study, only a single *NRAS* or *KRAS* mutation was detected in the 14 SCN-AML/MDS samples. Similarly, a preliminary study reported a 7% frequency of *RAS* mutations (1/14 cases of SCN AML/MDS).⁶¹ In contrast, an earlier study reported a *RAS* mutation frequency of 38% (5/13 cases of SCN AML/MDS).¹⁰ Interestingly, most of the patients in this earlier study were older and had not been treated with G-CSF from infancy. Consequently, it is possible that long-term G-CSF therapy selects for *CSF3R* mutations, reducing the need for *RAS* mutations during disease progression. Consistent with this possibility, resequencing of 3 of the original 5 cases of SCN AML/MDS with *RAS* mutations revealed that none of these cases had mutations of *CSF3R*.⁶¹ The mutual exclusivity of activating *RAS* and *CSF3R* mutations suggests that these genes may act on a shared pathway; however, it should be noted that the sole SCN sample in our study with a *KRAS* mutation also had a *CSF3R* mutation. In any case, combining data from all of these studies, the frequency of *RAS* mutations in SCN MDS/leukemia is approximately 15%, similar to that reported for de novo AML.

The frequency of *NPM1* mutations has been reported to be approximately 35% in adult de novo AML³⁹ and 6.5% in pediatric de novo AML.⁶³ In the present study, no *NPM1* mutations were detected in the 14 SCN samples versus 24% in the de novo AML samples ($P = .04$). Of note, *NPM1* mutations are more fre-

quently detected in AML samples with a normal karyotype; the frequency of *NPM1* mutations in de novo AML with an abnormal karyotype is only 6.4%.⁶³ Since most cases of SCN-MDS/AML are associated with an abnormal karyotype, this may partially account for the reduced *NPM1* mutation frequency in these samples. Nonetheless, the data suggest that mutations of the *NPM1* are rare during leukemic progression in SCN patients.

There is accumulating evidence that the genetic changes that contribute to secondary leukemias, including therapy-related AML and AML arising in the setting of MDS (MDS-AML), are distinct from those that contribute to the pathogenesis of de novo AML. The present data suggest that the mutations that contribute to SCN-AML are more similar to those of the secondary AML syndromes. Similar to therapy-related AML and MDS-AML, mutations of *NPM1* and *CEBPA* are rare in SCN-MDS/AML, whereas abnormalities of chromosomes 5 and 7 are more common than in de novo AML. The one outlier is *CSF3R*, where mutations appear to be uniquely associated with SCN. Given the poor prognosis of SCN-AML and other secondary leukemia syndromes, it will be important to identify the genes that contribute to transformation under these circumstances. These data will improve our understanding of the mechanisms of leukemic transformation and may provide new targets for drug design.

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Authorship

Contribution: G.K., Y.K., Y.Z., T.M., M.D.M., R.E.R., and D.K. generated and/or analyzed the data. W.S. and J.B. performed the statistical analyses. D.C.D., A.A.B., L.A.B., K.W., C.Z., J.D., C.B.-C., J.W.V., M.A.C., and C.D.B. provided crucial reagents. D.C.L., R.N., J.F.D., M.H.T., T.A.G., P.W., M.W., E.R.M., R.K.W., and T.J.L. designed the experiment. D.C.L. and T.J.L. wrote the paper.

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